

SUMMARY INSURANCE CERTIFICATE

We, the undersigned MMA IARD Assurances Mutuelles / MMA IARD certify that DTB WEALTH MANAGEMENT SARL - 3, RUE DU CLOS DE LA MUSCADELLE - 81150 LAGRAVE has taken out a PROFESSIONAL CIVIL LIABILITY insurance policy N°114240090 - ADHERENT N°029001, guaranteeing the pecuniary consequences of the Professional Civil Liability which may fall upon it in respect of damage caused to third parties and as a result of its activities, in accordance with articles L. 541-3, D.541-9, L.519-3-4, R.519-16 and L.519-4, R.519-17 of the MONETARY AND FINANCIAL CODE, articles L. 512-6, R.512-14, A.512-4 and L-512-7, R.512-15, A.512-5 of the CODE.

DES ASSURANCES as well as Law no. 70-9 of 2 January 1970 and comprising :

1. PROFESSIONAL LIABILITY + ACTIVITIES :

- WEALTH MANAGEMENT ADVICE AND RELATED ACTIVITIES SUCH AS CANVASSING FOR BANKING OR FINANCIAL PRODUCTS - FINANCIAL INVESTMENT ADVICE / CIF (ART.L.541-1 SVT CMF) - CJA - ESTATE AGENT (WITHOUT HANDLING OR HOLDING FUNDS)
AMOUNT OF COVER : 2,500,000€ per claim and 2,500,000€ per year
GENERAL EXEMPTION INCLUDING CIVIL DEFENCE COSTS : 5000 € per claim
INCLUDING FOR THE MARKETING OF GIRARDIN TAX EXEMPTION SCHEMES : 750,000€ per claim and 750,000€ per year
SPECIAL DISCLOSURE INCLUDING CIVIL DEFENCE COSTS : 10,000 € per claim
- INTERMEDIATION IN BANKING AND PAYMENT SERVICES WITHOUT ENTRUSTED FUNDS (IOBSP)
AMOUNT OF COVER : 2,500,000€ per claim and 2,500,000€ per year
DEDUCTIBLE 20% per claim (max. €5,000)
- PERSONAL INSURANCE BROKERAGE (WITHOUT COLLECTION OF THIRD-PARTY FUNDS) - ARBITRATION MANDATE ON LIFE INSURANCE POLICIES
AMOUNT OF COVER : 3,000,000€ per claim and 3,000,000€ per year
DEDUCTIBLE 20% per claim (maximum €5,000)

2. FINANCIAL GUARANTEES

- ACTIVITY:** IOBSP WITHOUT ENTRUSTED FUNDS
AMOUNT OF COVER : 115,000€ per year insurance
- BUSINESS:** PERSONAL INSURANCE BROKERAGE (WITHOUT COLLECTION OF THIRD-PARTY FUNDS)
AMOUNT OF COVER : 115,000€ per year insurance
- ACTIVITY:** ESTATE AGENT (NO HANDLING OR HOLDING OF FUNDS)
AMOUNT OF COVER : 110,000€ per insurance year

3. TERRITORIAL SCOPE

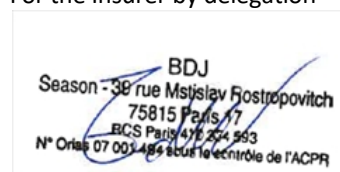
Cover under this policy applies worldwide, excluding activities carried out from permanent establishments located outside France, subject to the specific provisions for claims in the United States of America and/or Canada mentioned in the Declarations.

This certificate is issued for service and legal purposes, for the period from 01/01/2024 (0h00) to 31/12/2024 (24H00).

subject to renewal of the Professional Civil Liability policy N°114240090 on 1st January 2024 payment of the premium issued or to be issued. This certificate only implies a presumption of cover for Insurer in accordance with the French Insurance Code and does not bind the Insurer beyond the clauses and conditions of the Professional Civil Liability insurance N°114240090 to which reference should always be made.

PARIS, 23 JANUARY 2024

For the Insurer by delegation



BDJ
Season - 38 rue Mstislav Rostropovitch
75815 Paris 17
RCS Paris 476 204 593
N° Orias 07 001464 sctur le contrôle de l'ACPR

MMA IARD Assurances Mutuelles, MMA IARD

Société d'assurance mutuelle à cotisations fixes Limited company with capital of 537 052 368€ fully paid up RCS

Le Mans 775 652 126 - RCS Le Mans 440 048 882

Head office: 160 rue Henri Champion - 72030 Le Mans Cedex 9 - Companies governed by the Insurance Code. IDU REP Eco circular FR231780_03XLOT



PROFESSIONAL INDEMNITY INSURANCE CERTIFICATE AND FINANCIAL GUARANTEES

We, the undersigned MMA IARD Assurances Mutuelles / MMA IARD certify that

DTB WEALTH MANAGEMENT SARL
3, Rue du Clos de la Muscadelle
81150 LAGRAVE

**ORIAS
NO.
18004310**

has taken out PROFESSIONAL CIVIL LIABILITY insurance policy covering the financial consequences any professional civil liability it may incur for damage caused to third parties as a result of its activities, in accordance with Articles L.512-6, R.512-14, A.512-4 et L.512-7, R.512-15, A.512-5 du Code des Assurances, L.541-3, D.541-9, L.519- 3-4, R.519-16 et L.519-4, R.519-17 du Code Monétaire et Financier, N° 114240090 - ADHERENT N° 029001 et comportant :

1. PROFESSIONAL CIVIL LIABILITY

✚ BUSINESS: INSURANCE BROKERAGE (of persons) (WITHOUT COLLECTION OF THIRD PARTY FUNDS)

Amount of cover : 3,000,000€ per claim and 3,000,000€ per
year Excess 20% per claim (maximum €5,000)

✚ BUSINESS: INTERMEDIARY IN BANKING AND PAYMENT SERVICES without funds entrusted (IOBSP)

Amount of cover : 2,500,000€ per claim and 2,500,000€ per
year Excess 20% per claim (maximum €5,000)

✚ ACTIVITY: FINANCIAL INVESTMENT ADVISER (CIF)

Amount of cover : 2,500,000€ per claim and 2,500,000€ per
year Deductible per claim, including civil defence costs 5,000 per claim

2. FINANCIAL GUARANTEES

* Life and health insurance brokerage (without collection of third-party funds)

Amount of cover : 115,000€ per insurance year

* Intermediary in Banking Operations and Payment Services without entrusted funds (IOBSP)

Amount of cover : 115,000€ per insurance year

3. TERRITORIAL SCOPE

Cover under this policy applies worldwide, excluding activities carried out from permanent establishments located outside France, subject to the specific provisions for claims in the United States of America and/or Canada mentioned in the Declarations.

This certificate is issued for service and legal purposes, for the period from 01/03/2024 (0h00) to 28/02/2025 (24H00).

subject to renewal of the Professional Civil Liability policy N° 114240090 on 1st January 2024 payment of the premium issued or to be issued. This certificate only implies a presumption of cover for Insurer in accordance with the French Insurance Code and does not bind the Insurer beyond the clauses and conditions of the Professional Civil Liability insurance N°114240090 to which reference should always be made.

PARIS, 23 JANUARY 2024

For the Insurer by delegation

BDJ
Season - 30 rue Mstislav Rostropovitch
75815 Paris 17
RCS Paris 476 204 963
N° Orias 07 003 484 etue le contrôle de l'ACPR

PROFESSIONAL INDEMNITY INSURANCE CERTIFICATE

(Law no. 70-9 of 2 January 1970 and Decree no. 72-678 of 20 July 1972)

We, the undersigned MMA IARD Assurances Mutuelles / MMA IARD, certify that the insured named below has taken out an insurance policy on his behalf with cover at least equivalent to that provided by the decree of 1 September 1972.

INSURED :

- Natural person :

surname, first name (see section I):

business address :

OR

- Legal entity :

COMPANY NAME : DTB WEALTH MANAGEMENT

registered office : 3, Rue du Clos de la Muscadelle - 81150

LAGRAVE Legal form : SARL

surname, first name and position of the legal representative(s) (see section II) : Mr Daniel BUTCHER, Managing Director

PROFESSIONAL ACTIVITY

PROPERTY AND BUSINESS TRANSACTIONS

GUARANTEE :

WITHOUT HANDLING OR HOLDING FUNDS

POLICE N° : 114240090

- MEMBER N° : 029001

This certificate is issued for service and legal purposes, for the period from 01/01/2024 (0h00) to 31/12/2024 (24H00). subject to renewal of the Professional Civil Liability policy N° 114240090 on 1st January 2024 payment of the premium issued or to be issued. This certificate only implies a presumption of cover Insurer in accordance with the French Insurance Code and does not bind the Insurer beyond the clauses and conditions of the Professional Civil Liability insurance N°114240090 to which reference should always be made.

PARIS, 23 JANUARY 2024

For the Insurer by delegation

BDJ
Season - 38 rue Mstislav Rostropovitch
75815 Paris 17
RCS Paris 416 204 563
N° Oriat 07 001486 inscrit le contrôle de l'ACPR

MMA IARD Assurances Mutuelles, MMA IARD

Société d'assurance mutuelle à cotisations fixes Limited company with capital of 537 052 368€ fully paid up RCS
Le Mans 775 652 126 - RCS Le Mans 440 048 882

Head office: 160 rue Henri Champion - 72030 Le Mans Cedex 9 - Companies governed by the Insurance Code. IDU REP Eco circular FR231780_03XLOT

FINANCIAL GUARANTEE CERTIFICATE

(Decree no. 72-678 of 20 July 1972, amended by Decree 2010-1707 of 30 December 2010)

We, the undersigned MMA IARD Assurances Mutuelles / MMA IARD, certify that a financial guarantee the amount of : 110,000€ per year of insurance was given by it to :

INSURED :

- Natural person :

surname, first name (see section

II): business address :

OR

- Legal entity :

COMPANY NAME : DTB WEALTH MANAGEMENT

registered office : 3, Rue du Clos de la Muscadelle - 81150

LAGRAVE Legal form : SARL

surname, first name and position of the legal representative(s) (see section II) : Mr Daniel BUTCHER, Managing Director

PROFESSIONAL ACTIVITY

PROPERTY AND BUSINESS TRANSACTIONS

GUARANTEE :

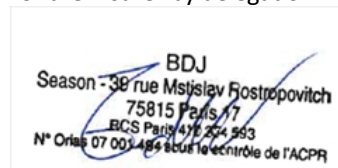
WITHOUT HANDLING OR HOLDING FUNDS

POLICE N° : 114240090 - MEMBER N° : 029001

This certificate is issued for service and legal purposes, for the period from 01/01/2024 (0h00) to 31/12/2024 (24H00). subject to renewal of the Professional Civil Liability policy N° 114240090 on 1st January 2024 payment of the premium issued or to be issued. This certificate only implies a presumption of cover Insurer in accordance with the French Insurance Code and does not bind the Insurer beyond the clauses and conditions of the Professional Civil Liability insurance N°114240090 to which reference should always be made.

PARIS, 23 JANUARY 2024

For the Insurer by delegation



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Season - 38 rue Mstislav Rostropovitch
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RCS Paris 416 204 563
N° Oriat 07 001484 et au le contrôle de l'ACPR